

Administrative Policy

- 1 OPTIONS recognizes the need to maintain accurate and comprehensive records for all operations. To ensure the maintenance of accurate records, the following individuals will be responsible for the following records with corresponding record retention schedule:
 - 1.1 Chief Executive Officer
 - Board Records 7 yrs
 - Administrative Records 7 yrs
 - Strategic Planning Records 7 yrs
 - 1.2 Chief Operating Officer
 - Program Descriptions 3 yrs after it closes
 - Incident Reports 7 yrs
 - Reports for Regulatory Bodies 7 yrs
 - 2.3 Chief Financial Officer
 - Financial Records 7 yrs
 - Payroll Records 7 yrs
 - Insurance Information/Records 7 yrs
 - Sub-Contractors 7 yrs after termination
 - Food Account Records 7 yrs
 - 2.4 Human Resource Director
 - Personnel Files 7 yrs after termination
 - Staff Schedules 1 yr
 - Staff Evaluations 7 yrs after termination
 - Training Schedules & Records 7 yrs after termination
 - In-Service Records 3 yrs
 - Health Insurance Information & Records 7 yrs after termination
 - 2.5 Director of Programs
 - Register of Supported Person 7 yrs
 - Safety Records 7 yrs
 - Q/A Records 3 yrs
 - Maintenance Checks 7 yrs
 - Specific Program Safety Records 7 yrs
 - 2.6 Program Managers
 - Supported Person Files 7 yrs after discharge
 - Staff Meeting Agendas 1 yr
 - Peer Group Meeting Agendas 1 yr
 - Duty Roster 1 yr

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Data Collection for ISP & Monthly Reports 7 yrs after discharge

2.7 Program Supervisors

Medication Log 7 yrs after discharge

Mileage Log (Van) 3 yrs

Weekly Menus 1 yr

- 2 Medical records will be kept in a locked file cabinet, or in a storage area secured by a locking door. The file cabinet or storage area will safeguard against loss, destruction, or unauthorized use.
- 3 Records of persons served will be transferred to archival storage 12 months from the date a person is discharged from OPTIONS. Files may be purged with records one year or older placed in archives. Administrative records will be transferred to archival storage 12 months after the end of each fiscal year.
- 4 When records are destroyed it will be shredded. Confidentiality of records will be maintained at all times. No individual or organization will be allowed to view any record maintained by OPTIONS without proper authorization and/or signed release statements from the person whose information is contained in the record, or from that person's authorized representative.
- 5 Files are purged annually. Most purged documents are of day-to-day records such as ID notes, Health Appointment Checklists, etc. Annual Physician reports, assessments and pre-admission documents are generally not purged.

Adopted: February 1989

Revised: November 1990, August 1995, June 2002, June 2006, May 2012
August 2012, April 2014, October 2015

Reviewed: August 2016, October 2017